

FORM PTO-1390
(REV 11-98)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

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April 13, 2000

U.S. APPLICATION NO. (If known see 37 CFR 1.5)

09/529383

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

INTERNATIONAL APPLICATION NO.

PCT/EP98/06479

INTERNATIONAL FILING DATE

13 October 1998 (13.10.98)

PRIORITY DATE CLAIMED

13 October 1997 (13.10.97)

TITLE OF INVENTION

METHOD FOR INCREASING THE WEAR RESISTANCE OF A WORK PIECE

APPLICANT(S) FOR DO/EO/US

MEIER, Gerd; RUSSNER, Carsten; RUSSNER, Klaus; STINGL, Peter; RADKE, Helmut; TEMPEL, Steffen; and LEUTERITZ, Dietmar

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND or SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(I).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
- ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
- ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
- ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
☐ A **SECOND or SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☒ A change of power of attorney and/or address letter.
16. ☒ Other items or information: International Publication No. W099/19271
PCT Request Form
Figs. 1,2a-2b,3,4a-b,5a-b,6,7a-7b,8a-b,9a-9b,10a-10b

Form PTO-1390 (REV 11-98) page 2 of 2

306.38372X00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: MEIER et al
Serial No.:
Filed: April 13, 2000
For: Method For Increasing The Wear Resistance
Of A Work Piece
Group:
Examiner:

PRELIMINARY AMENDMENT

Assistant Commissioner
for Patents
Washington, D.C. 20231

April 13, 2000

Sir:

Prior to examination on the merits of this application and prior to calculation
of the filing fee, please amend the above-identified application as follows:

IN THE CLAIMS:

Claim 3, line 1, delete "or 2".

Claim 4, line 1, delete "or 2".

Claim 5, line 1, delete "one of claims 1 to 4" and insert --claim 1--.

Claim 7, line 1, delete "one of claims 1 to 5" and insert --claim 1--.

Claim 8, line 1, delete "one of claims 1 to 7" and insert --claim 1--.

Claim 11, line 1, delete "one of claims 8 to 10" and insert --claim 8--.

Claim 12, line 1, delete "one of claims 8 to 11" and insert --claim 8--.

Claim 13, line 1, delete "one of claims 8 to 12" and insert --claim 8--.

Claim 14, line 1, delete "one of claims 1 to 13" and insert --claim 1--.

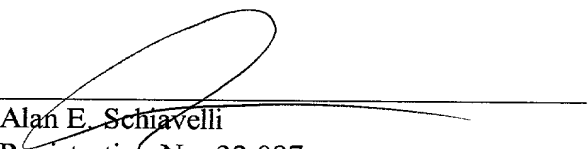
REMARKS

The foregoing amendments are respectfully requested prior to examination on the merits of this application.

To the extent necessary, applicants petition for an extension of time under 37 CFR 1.136. Please charge any shortage in the fees due in connection with the filing of this paper, including extension of time fees, to the deposit account of Antonelli, Terry, Stout & Kraus, LLP, Deposit Account No. 01-2135 (Case: 306.38372X00), and please credit any excess fees to such deposit account.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP


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METHOD FOR INCREASING THE WEAR RESISTANCE OF A WORK
PIECE

5 The invention relates to a method for increasing
the wear resistance of a work piece in accordance with
the preamble of claim 1.

10 In order to increase the wear resistance of a work
piece it is known that the loaded surface of the work
piece can be protected by means of a material that is
of a greater hardness than the work-piece material.
Materials that cannot be reshaped, such as hard metal
or ceramic materials, called core materials in the
following, are particularly suitable for this.

15 Connections between ceramic materials or hard
metals and a metal or non-ferrous metal respectively as
the work piece are produced at present by using the
basic joining techniques, form-fitting, force-fitting
and substance-fitting.

20 Moreover, connections which cannot be undone are
currently mainly realized by means of soldering,
welding and shrinkage methods and various bending-
reshaping methods, for example flanging or rotatory
reshaping under compressive conditions.

25 It is largely the soldering methods (for example
high-temperature or active soldering) and also the
welding methods that come into consideration for
connections that undergo maximum mechanical stresses.

30 The disadvantages of the soldering and welding
methods are the high costs of production as well as, in
most cases, the need to use additional and/or
intermediate substances that are matched to the
expansion behaviour or the need to carry out structural
measures to compensate for the different coefficients
of thermal expansion in order to reduce stresses.

35 The underlying object of the invention is to
improve a method for increasing the wear resistance of

a work piece in accordance with the preamble of claim 1 in such a way that an extremely durable connection of the core material to the work piece is achieved with simple means and in a less expensive manner. In so
5 doing, the dimensions of the work piece are to be maintained.

In accordance with the invention this object is achieved by connecting the core material to the work piece in a form-fitting manner by means of cold-
10 extrusion or hot-extrusion of the work-piece material.

The method in accordance with the invention is a reshaping method in which a plastic change in the shape of a solid body is effected by means of compression or compression-drawing. The properties of the material
15 and the dimensions of the body are thereby maintained. Cold-extrusion is extrusion without an additional supply of heat to the components or tools before or during the reshaping. However, heat can/will develop as a result of the reshaping. In the case of hot-
20 extrusion, heat is supplied during the extrusion.

The new underlying idea of the method is to use the plastic change in the work-piece material, advantageously steel or non-ferrous metal, during the extrusion, and the non-reshapability of the ceramic
25 sintered materials that have high grain-boundary stability, based on dense, high-melting metal oxides, metal carbides and metal nitrides or hard metals and hardened metals, in order to produce a connection which cannot be undone. The sintered materials, the hard
30 metal or the hardened metal of the core materials are shaped in terms of extrusion techniques in such a way that the plastic deformation of the metal/non-ferrous metal is not hindered, but rather is promoted, and the sintered materials or the hard metal are not overloaded
35 with regard to their material properties, specifically the stability properties. Outer and inner contours of

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the work pieces are then determined by the producibility of the tools.

The connection is clearly less expensive as a result of the use of this new technology (savings in terms of time and materials).

Oxide ceramic materials, such as, for example, aluminium oxide, zirconium oxide, magnesium oxide, mixtures of aluminium oxide and zirconium oxide, silicon nitride, such as, for example, sintered silicon nitride (SSN), hot-pressed (HPSN) or gas pressure-sintered (GPSN) silicon nitride, silicon carbide, such as, for example, densely sintered silicon carbide (SSiC), silicon-infiltrated silicon carbide (SiSiC), dispersion ceramic materials, ceramic silicate materials and also mixtures of titanium carbide and aluminium oxide number among the ceramic sintered materials that are particularly suitable for the present invention. Numbering among these materials within the scope of the present invention are also those materials which contain, in small admixed quantities, magnesium oxide, calcium oxide and yttrium oxide and other sintering aids which are usually added, for example, as grain-growth inhibitors.

In the case of this invention all the hard metals which have mechanical strength values of $\sigma_B > 350 \text{ N/mm}^2$ number among the hard metals which are particularly suitable.

All the metals of the material group 1.2379, for example, number among the hardenable metals which are particularly suitable.

In order to achieve security against torsion or a comparatively high degree of strength of the connection, suitable additional shaped elements such as, for example, rounded-off notches and/or areas or hollow spaces and/or undercuts are worked into the core materials or special surface qualities are produced.

Advantageously, moreover, the core material tapers towards the outside of the work piece. As a result, even better anchorage of the core material in the work piece is achieved.

Advantageously, a displaceable ejector is provided as an abutment for the work piece or the core material in the bore in the sleeve liner. This ejector is used, after the extrusion, to eject, for example press, the finished work piece out of the sleeve liner.

Depending on the required application, it is also advantageous to form the punch as a hollow punch. In this case, the pressure is only applied to an annular outer region of the hollow punch. It is also expedient in specific cases if the punch, at its end that faces

the work piece or core material, has a clearance from the bore in the sleeve liner.

In a special embodiment a further displaceable punch, to which force can be applied, is arranged in the punch. By means of this further punch it is possible to control the reshaping of the work-piece material in a purposeful manner.

Advantageously, this method is used in the case of work pieces of valve systems, in particular valve drives of internal combustion engines. Numbering amongst these there is, for example, a tappet that is driven by the cam shaft or else the setting screw of the rocker arm.

Further features of the invention emerge from the figures which are described in the following and in which:

Figure 1 shows the valve drive of an internal combustion engine with advantageous examples of application of the invention;

Figures 2a, b show a plan view (Figure 2a) of and a section (Figure 2b) through a core material, for example as an insert for a tappet;

Figure 3 shows a setting screw of a valve drive of an internal combustion engine;

Figures 4a, b diagrammatically show forward cup extrusion;

Figures 5a, b diagrammatically show backward cup extrusion;

Figures 6a, b diagrammatically show forward tube extrusion;

Figures 7a, b
diagrammatically show backward tube
extrusion;

Figures 8a, b
diagrammatically show forward solid
extrusion or reduction;

Figures 9a, b
diagrammatically show backward solid
extrusion; and

Figures 10a, b
diagrammatically show lateral extrusion
or compression.

The valve drive of an internal combustion engine
is diagrammatically shown in Figure 1. It
substantially consists of a cam shaft 11, a tappet 12,
a push rod 13, a rocker arm 14 with a rocker-arm axle
15, a setting screw 16, a valve 17 with a spring plate
18, a valve guide 19 and a valve spring 20. These
parts are to some extent very susceptible to wear. It
is known that the wear-resistance at the working
surface of the cam shaft 11 can be increased by
providing on the tappet 12, for example by soldering,
welding, shrinkage or the like, a core material 2 which
has a greater hardness than the material of the tappet
12. Hard metals, hardened metals or ceramic materials
are used, for example as the material of the core
material.

According to the method in accordance with the
invention a core material 2 that cannot be reshaped is
connected to the work piece, here, for example, the
tappet 12, in a form-fitting manner by means of cold-
extrusion or hot-extrusion.

A plan view (Figure 2a) of and a section through
(Figure 2b) a core material 2, for example as an insert
in a tappet, are shown in Figures 2a, 2b. The core
material 2 here is formed as a disc and has a knurling

3 at its circumferential edge for the purpose of
securing against torsion. The exterior 21 of the core
material 2 tapers towards the outside of the work
piece. The core material 2 in this case consists of a
5 sintered ceramic material, that is, of silicon nitride
 Si_3N_4 .

Figure 3 shows, as a further example, a setting
screw 16 of a valve drive of an internal combustion
engine (cf. also Figure 1). A work piece 1 is secured
10 by means of extrusion to the end of the setting screw
16 that faces the valve, with this work piece 1 being
connected to a ceramic material 23 in a form-fitting
manner by means of extrusion.

A work piece for carrying out the method in
15 accordance with the invention is diagrammatically shown
in each of the following Figures 4 to 10. Figures 4a,
5a, 6a, 7a, 8a, 9a, 10a each show the work piece in the
tool before the connection has been established and
Figures 4b, 5b, 6b, 7b, 8b, 9b, 10b show it after the
20 connection has been established.

Figures 4a, b diagrammatically show forward cup
extrusion. In this case, a bore 5, in which a punch 6
and an ejector 7 are arranged in a displaceable manner,
is introduced into a sleeve liner 4. The ejector 7 is
25 used as an abutment for the punch 6 during the pressing
process and is used to press out the work piece 1 after
the connection has been established. The work piece 1
and the core material 2 are located between the ejector
7 and the punch 6. The core material 2 is a sintered
30 ceramic material and the work piece 1 is steel or non-
ferrous metal. The core material 2 rests upon the
ejector 7 and has an elevation 23 facing the work piece
1. During the pressing process the punch 6 presses the
work piece 1 onto the core material 2 in such a way
35 that the material of the work piece 1 begins to flow
and flows around the raised part 23 of the core

material 2. The result, namely the form-fitting connection, is shown in Figure 4b. After the process of cold-extrusion, the punch 6 is moved back and the work piece 1 is pressed out by means of the ejector 7. Hot-extrusion is effected in a similar manner, only here heat is also supplied, in addition.

Figures 5a, b diagrammatically show backward cup extrusion. This is very similar to the forward cup extrusion in accordance with Figures 4a, b, only here the core material 2 is pressed into the work piece 1.

Figures 6a, b diagrammatically show forward tube extrusion. As a special feature here the bore 5 has a constriction 8 in the sleeve liner 4. This constriction 8 is used as an abutment for the work piece 1 during the cold-extrusion. The work piece 1 additionally has a recess 24 and the core material 2 has a peg 25 adapted thereto, with the peg 25 being inserted into the recess 24 before the connection is established. During the connection, the work piece is pressed beyond the constriction 8 in the direction of the ejector 7. The ejector 7 is pushed back and after the connection is merely used to press out the work piece 1. After the connection has been established, a hollow space 26 will have developed in the recess 24 that was present before the connection.

Figures 7a, b diagrammatically show backward tube extrusion. The core material 2 rests upon the ejector 7 and in turn has a peg 25 that faces the material and which is inserted into a recess 24 of the work piece 1. However, as a special feature here the punch 6 is formed as a hollow punch. Only the outer region of the work piece 1 is therefore subjected to cold-extrusion. After the connection has been established, as already shown in Figure 6b, a hollow space 26 is created in the work piece 1.

Figures 8a, b show forward solid extrusion or

reduction. Here again there is in the bore 5 a
constriction 8 that is formed as an incline on which
the work piece 1 sits. After the connection has been
established, the ejector 7 is only used to press out
the work piece 1. Provided in the work piece 1 there
is a recess 24 into which the core material 2 is
inserted. The punch 6 in this embodiment has a
clearance 9 from the bore 5 in the sleeve liner 4. The
diameter of the punch 6 which rests upon the core
material 2 corresponds exactly to the diameter of the
core material 2. During the cold-extrusion, the
diameter of the work piece 1 is reduced as a result of
the constriction 8, whereby a firm connection is
achieved.

Figures 9a, b show backward solid extrusion. Here
the work piece 1, which before the connection has been
established is in the form of a disc, is arranged on
the ejector 7. The core material 2 is set annularly
upon the work piece 1 at the outer region thereof.
During the cold-extrusion, the core material 2 is
pressed down by the punch 6, whereby the work-piece
material flows into the hollow space 10.

Figures 10a, b show lateral extrusion or
compression. Here the work piece 1 is in the form of a
T-shape in cross section before the cold-extrusion and
the core material 2 is set thereon annularly. During
the cold-extrusion, the work-piece material flows
around the core material 2 so that the core material is
surrounded on three sides by the work piece 1. Here
accordingly the peg which develops as a result of the
backward extrusion is reshaped as a result of a
subsequent compression or lateral-extrusion operation
so that comparatively firm seating of the connection in
the axial direction results.

Combinations of the individual methods are
possible in succession or in one single operation. For

926

Claims

1. Method for increasing the wear-resistance of a work piece, with the work piece (1) being connected to a core material (2) that cannot be reshaped and which is of a greater hardness than the work-piece material, characterised in that the core material (2) is connected to the work piece (1) in a form-fitting manner by means of cold-extrusion or hot-extrusion of the work-piece material.

2. Method according to claim 1, characterised in that the work-piece material is steel or non-ferrous metal.

3. Method according to claim 1 or 2, characterised in that the core material (2) is a hard metal or a hardened metal.

4. Method according to claim 1 or 2, characterised in that the core material (2) is a ceramic sintered material.

5. Method according to one of claims 1 to 4, characterised in that the core material (2) has additional shaped elements such as, for example, rounded-off notches and/or areas or hollow spaces and/or undercuts.

6. Method according to claim 5, characterised in that the additional shaped elements are constituted by a knurling (3) that is provided on the outside.

7. Method according to one of claims 1 to 5, characterised in that the core material (2) tapers towards the outside of the work piece.

8. Method according to one of claims 1 to 7, characterised in that a bore (5) in which a displaceable punch (6) connects the work piece (1) to the core material (2) is arranged in an extrusion sleeve liner (4).

9. Method according to claim 8, characterised in

10. Method according to claim 8, characterised in
5 that a constriction (8) is provided in the bore (5) as
an abutment for the work piece (1) or the core material
(2).

10 12. Method according to one of claims 8 to 11,
characterised in that the punch (6), at its end that
faces the work piece (1) or core material (2), has a
clearance (9) from the bore (5) in the sleeve liner
(4).

14. Method according to one of claims 1 to 13,
characterised in that this method is used on work
pieces of valve systems, in particular valve drives of
internal combustion engines.

-1/5-

FIG.1

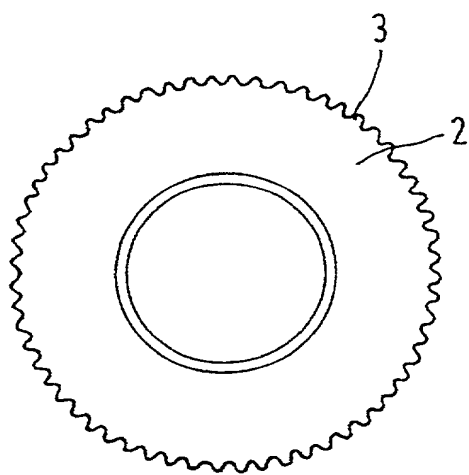
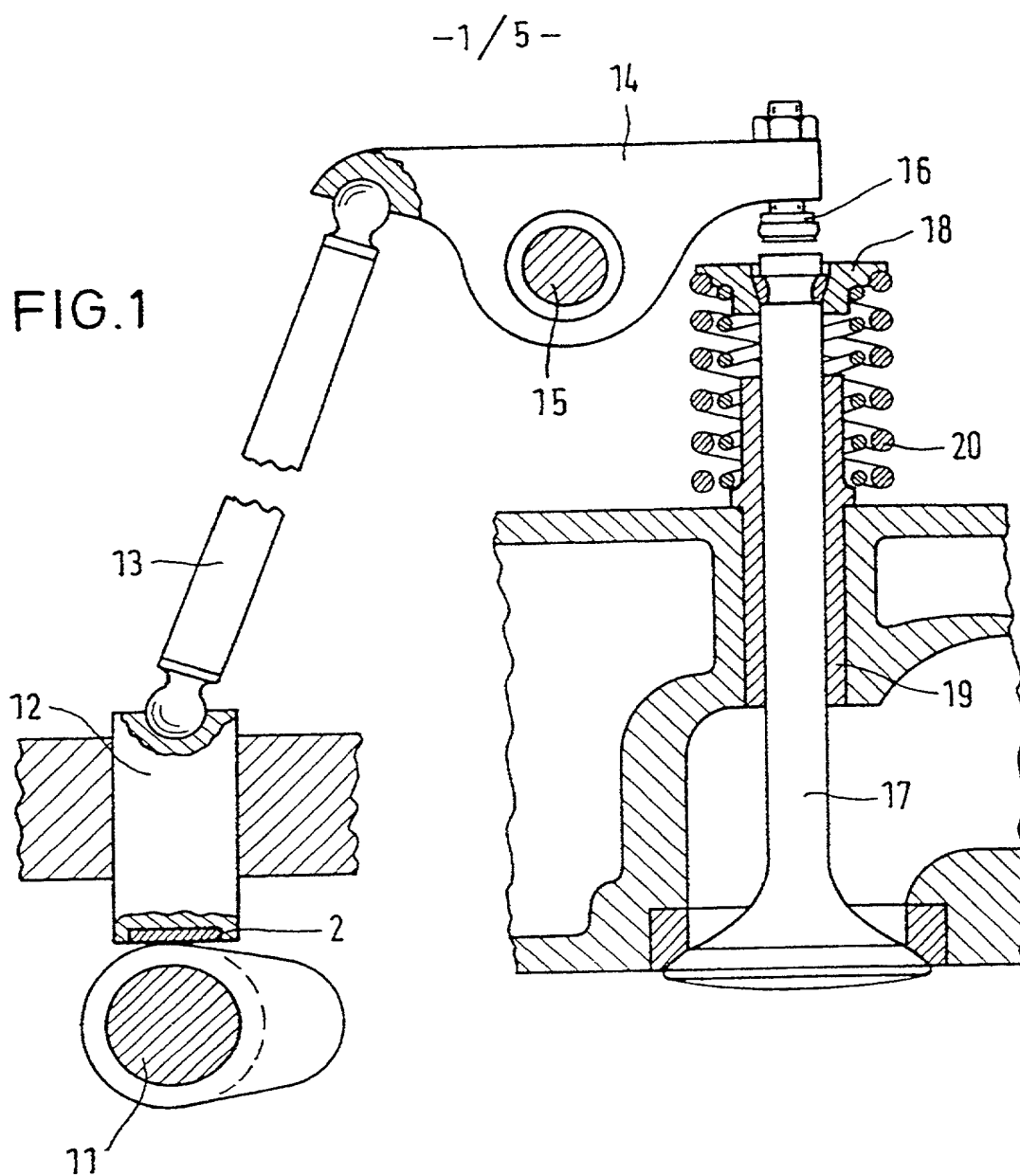


FIG.2a

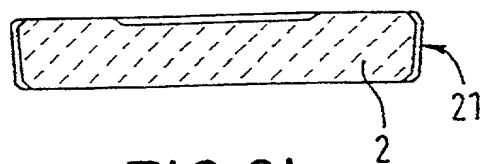


FIG.2b

- 2 / 5 -

FIG.3

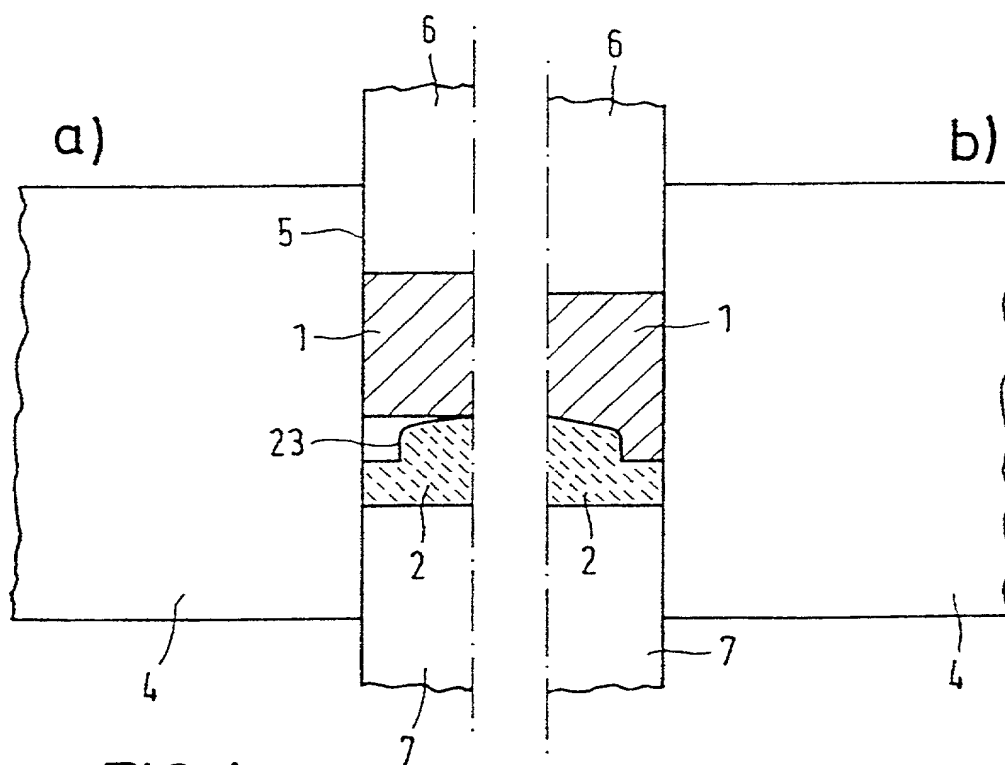
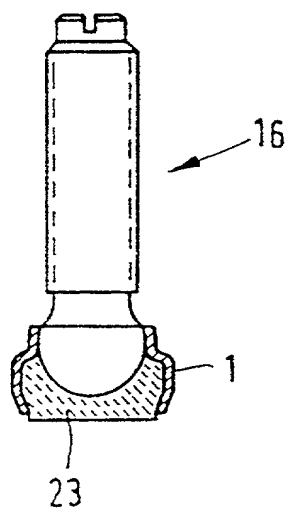


FIG.4

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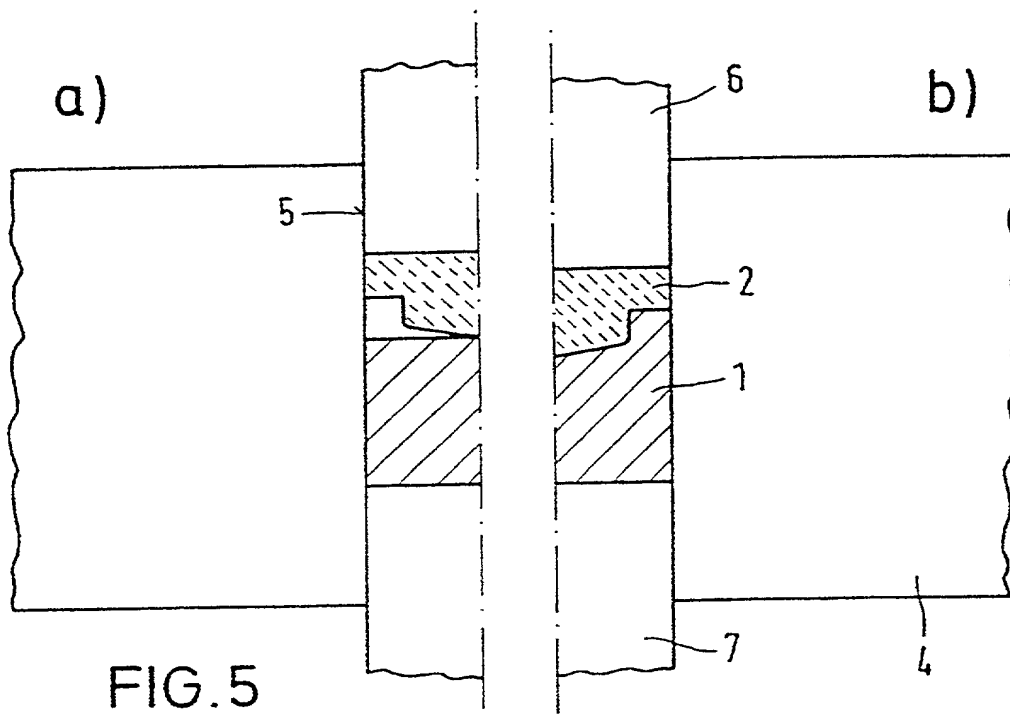


FIG. 5

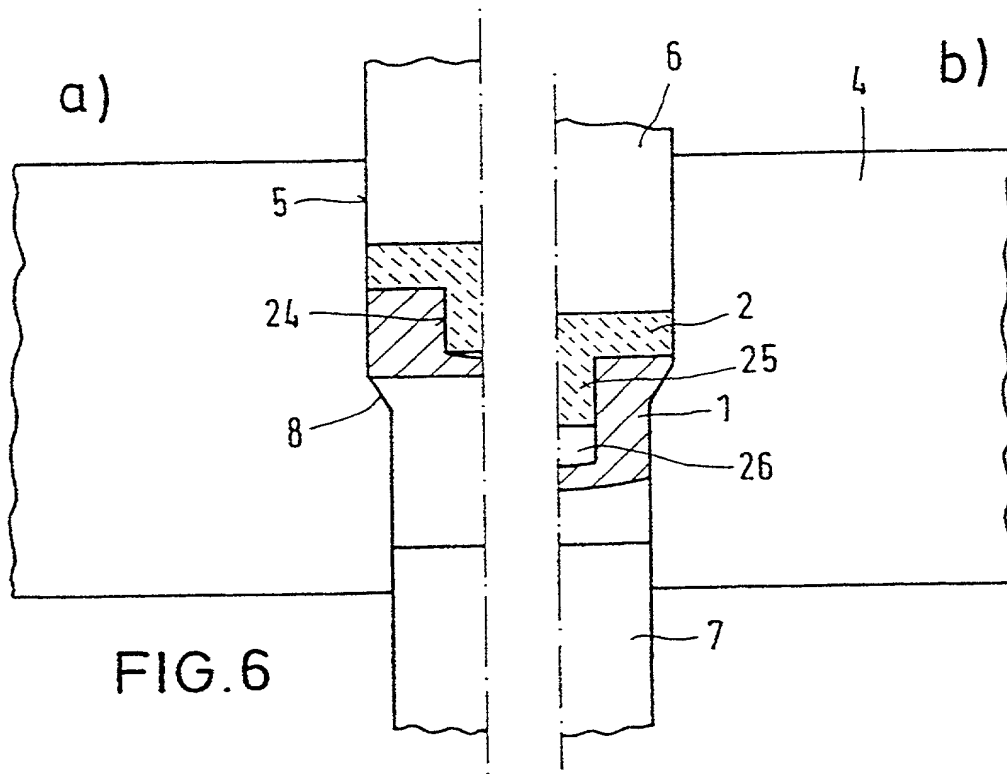


FIG. 6

- 4 / 5 -

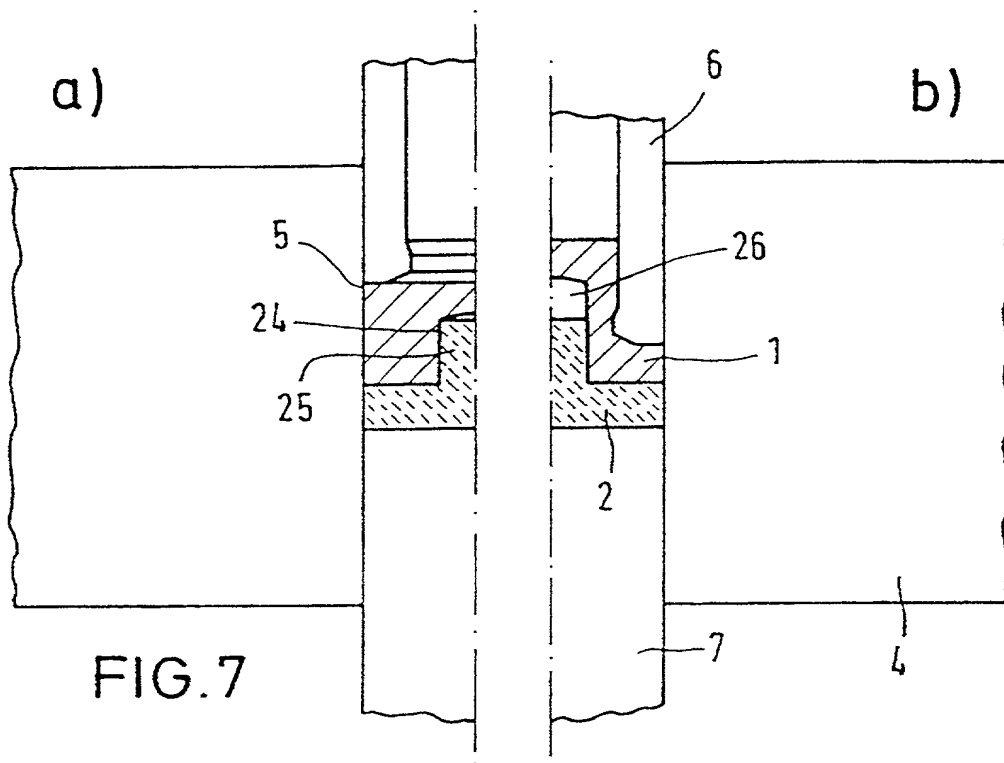


FIG. 7

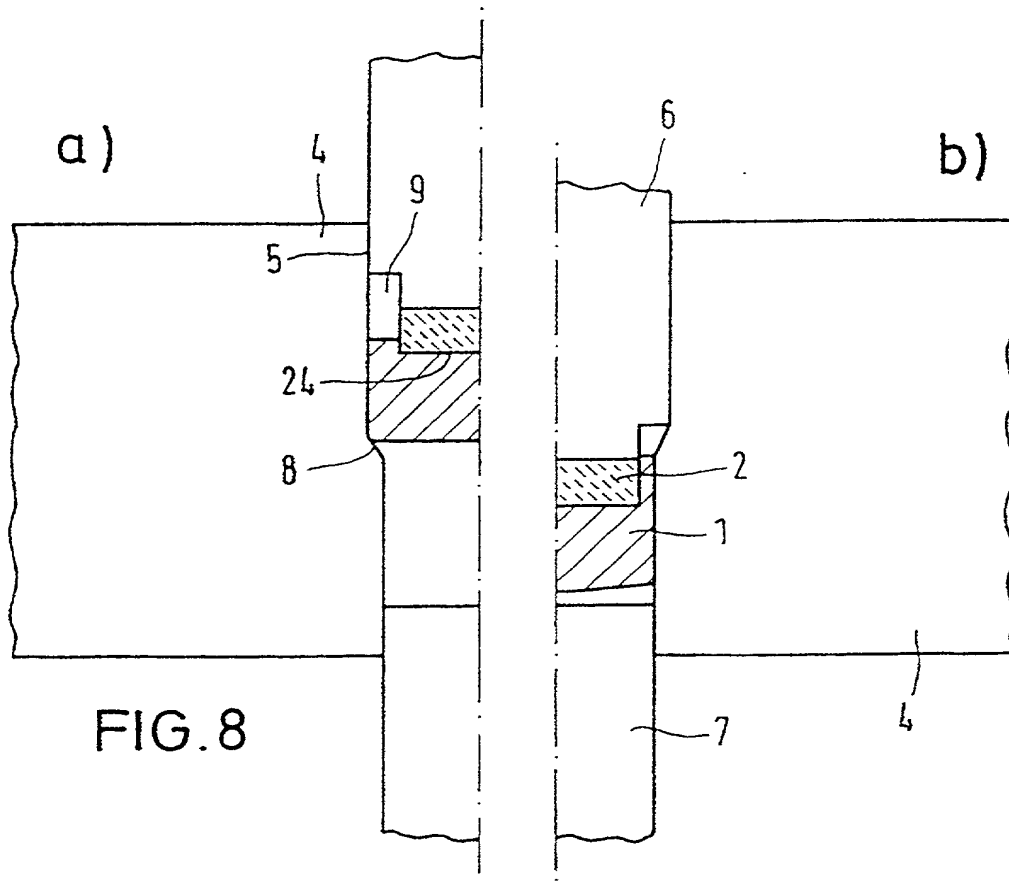


FIG. 8

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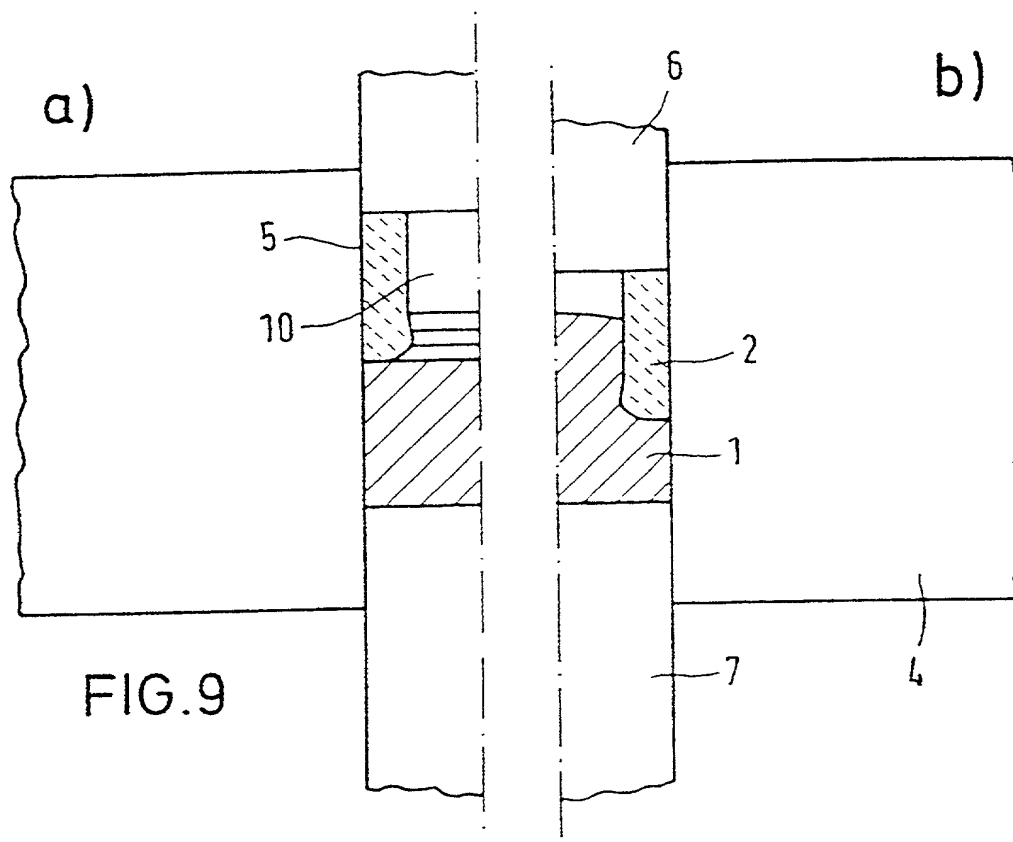


FIG.9

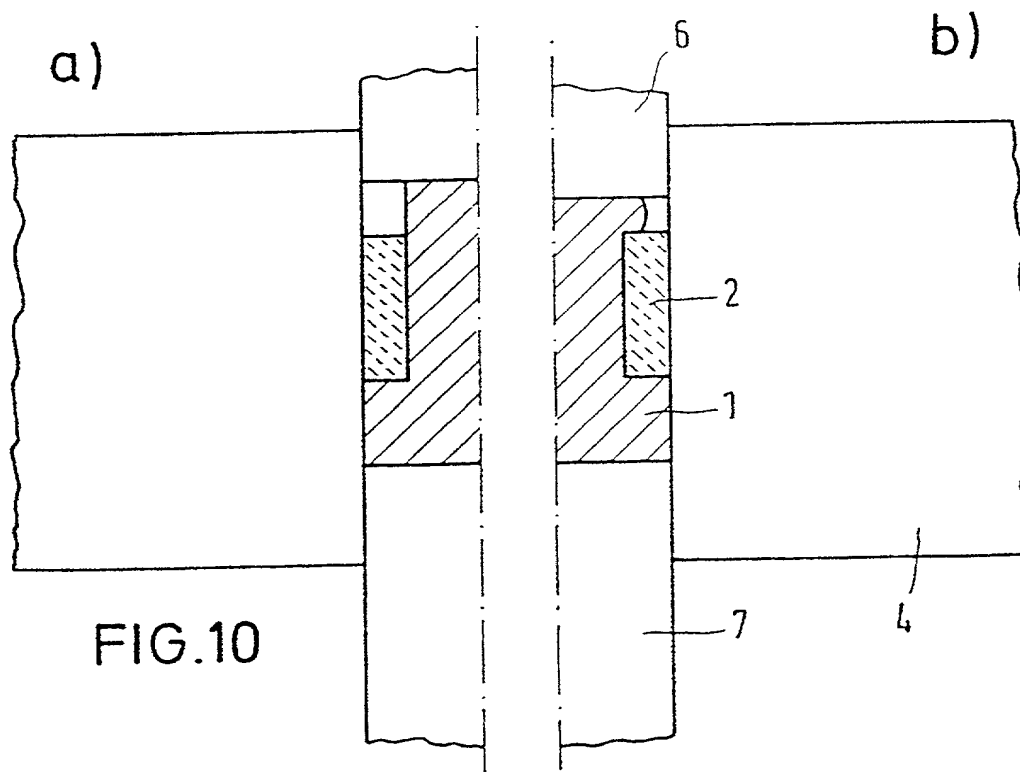


FIG.10

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09/529383
O/SB/122 (11-96)
Approved for use through 6/30/99. OMB 0651-0035

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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CHANGE OF CORRESPONDENCE ADDRESS Application Address to: Assistant Commissioner for Patents Washington, D.C. 20231	Application Number	
	Filing Date	April 13, 2000
	First Named Inventor	Gerd MEIER
	Group Art Unit	
	Examiner Name	
	Attorney Docket Number	306.38372X00

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Assignee of record of the entire interest.
Certificate under 37 CFR 3.73(b) is enclosed.



Attorney or agent of record .

Typed or Printed Name	Alan E. Schiavelli.	Registration NO.	32,087
Signature			
Date	April 13, 2000.		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

306.38372X00

DECLARATION AND POWER OF ATTORNEY FILED WITH U.S. DESIGNATED OFFICE UNDER 35 U.S.C. 371(c)(4)

As a below named inventor, I/we hereby declare that:

My/Our residence, post office address and citizenship are as stated below next to my/our name, I/we believe that I/we are the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Method For INcreasing The Wear Resistance Of A Work Piece

the specification of which was filed as PCT International Application No. PCT/EP98/06479
 filed October 13, 1998 (US Serial No, 09/529,383 - April 13, 2000) and was amended on _____
 (if applicable)

I/We hereby state that I/we have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I/We acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I/We hereby claim benefit under Title 35, United States Code, §119 of any provisional application(s) and any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the foreign application(s) on which priority is claimed:

Provisional and/or Foreign Application(s)

Priority Claimed

<u>197 45 205.1</u> (Number)	<u>Germany</u> (Country)	<u>13/10/97</u> (Day/Month/Year Filed)	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
<u>198 06 888.3</u> (Number)	<u>Germany</u> (Country)	<u>19/02/98</u> (Day/Month/Year Filed)	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
<u> </u> (Number)	<u> </u> (Country)	<u> </u> (Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
<u> </u> (Number)	<u> </u> (Country)	<u> </u> (Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
<u> </u> (Number)	<u> </u> (Country)	<u> </u> (Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
<u> </u> (Number)	<u> </u> (Country)	<u> </u> (Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No

I/We hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I/we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u> </u> (Application Serial No.)	<u> </u> (Filing Date)	<u> </u> (Status: patented, pending, abandoned)
<u> </u> (Application Serial No.)	<u> </u> (Filing Date)	<u> </u> (Status: patented, pending, abandoned)
<u> </u> (Application Serial No.)	<u> </u> (Filing Date)	<u> </u> (Status: patented, pending, abandoned)
<u> </u> (Application Serial No.)	<u> </u> (Filing Date)	<u> </u> (Status: patented, pending, abandoned)

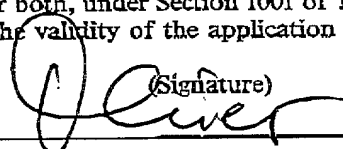
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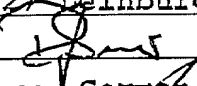
10 I hereby appoint as principal attorneys; Donald R. Antonelli, Reg. No. 20,296; David T. Terry, Reg. No. 20,178; Melvin Kraus, Reg. No. 22,466; William I. Solomon, Reg. No. 28,565; Gregory E. Montone, Reg. No. 28,141; Ronald J. Shore, Reg. No. 28,577; Donald E. Stout, Reg. No. 26,422; Alan E. Schiavelli, Reg. No. 32,087; James N. Dresser, Reg. No. 22,973 and Carl I. Brundidge, Reg. No. 29,621 to prosecute and transact all business connected with this application and any related United States application and international applications. Please direct all communications to the following address:

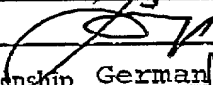
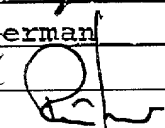
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United State Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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